



Selection Criteria
 IFA
 Funds
 Representative
 Currency

IFA Company X
 ALL
 ALL
 ALL

Deal status ALL
 Date range Between 01/01/2010 & 12/07/2011
 Commission status ALL
 Special offers ALL

IFA	Representative	Client	Fund	Currency	Investment	Date Placed	Up Front Comm %	Up Front Comm (Amt)	Up Front Status	Trail Comm %	Approx Trail Comm (Amt)
IFA Company X	Paul Smith	Client X FPI 76537	EEA Life Settlement	GBP	10,000.00	May 2011	2.500%	250.00	Paid	0.500%	50.00
IFA Company X	Paul Smith	Client X FPI 76538	EEA Life Settlement	USD	20,000.00	May 2011	2.500%	500.00	Outstanding	0.500%	100.00
IFA Company X	Paul Smith	Client X FPI 76539	Hurlingham	GBP	5,000.00	Jun 2011	3.000%	150.00	Outstanding	0.250%	12.50
IFA Company X	Brian Jones	Client X FPI 76540	Mansion	GBP	15,000.00	Jun 2011	4.000%	600.00	Outstanding	0.500%	75.00
IFA Company X	Paul Smith	Client X FPI 76541	Mansion	GBP	15,000.00	Jun 2011	4.000%	600.00	Paid	0.500%	75.00
IFA Company X	Paul Smith	Client X FPI 76542	Mansion	GBP	15,000.00	Jun 2011	4.000%	600.00	Paid	0.500%	75.00
IFA Company X	Paul Smith	Client X FPI 76543	Mansion	GBP	13,000.00	Jun 2011	4.000%	520.00	Paid	0.500%	65.00
IFA Company X	Peter Edwards	Client X FPI 76544	Mansion	GBP	20,000.00	Jul 2011	4.000%	800.00	Outstanding	0.500%	100.00
IFA Company X	Paul Smith	Client X FPI 76545	Mansion	USD	20,000.00	May 2011	4.000%	800.00	Outstanding	0.500%	100.00
IFA Company X	Paul Smith	Client X FPI 76546	Mansion	USD	24,750.00	Jun 2011	4.000%	990.00	Outstanding	0.500%	123.75
IFA Company X	Andrew Shaw	Client X FPI 76547	Mansion	USD	10,000.00	Jul 2011	4.000%	400.00	Outstanding	0.500%	50.00
IFA Company X	Paul Smith	Client X FPI 76548	Mansion	USD	20,000.00	Jul 2011	4.000%	800.00	Outstanding	0.500%	100.00
IFA Company X	Paul Smith	Client X FPI 76549	Lucent	GBP	10,000.00	May 2011	4.000%	400.00	Outstanding	0.500%	50.00
IFA Company X	Paul Smith	Client X FPI 76550	KHG	USD	20,000.00	May 2011	4.000%	800.00	Outstanding	0.500%	100.00
				EUR	0.00			0.00			0.00
				GBP	103,000.00			3,920.00			502.50
				USD	114,750.00			4,290.00			573.75